



Golden Gate Regional Center

Per the directive from [December 1, 2023](#), issued by the Department of Developmental Services in accordance with Welfare and Institutions Code Section 4685.10. Golden Gate Regional Center is required to confirm full implementation federal requirements for Home and Community-Based Services (HCBS) settings. Golden Gate Regional Center will complete announced and pre-planned on-site reviews of all HCBS settings by August 31, 2024, ensuring compliance with HCBS settings requirements. GGRC will accomplish this through collaboration with service providers to promptly address any noncompliance issues identified during the monitoring visit, including seeking input from individuals served, providing training, and updating documentation to support the full implementation of federal requirements. Additional resources and tools for training and information are available on our website.

If, after a monitoring visit, a provider is found to be non-compliant with federal Home and Community-Based Services (HCBS) requirements, corrective action will follow California Code of Regulations, Title 17 section 56056. The regional center will collaborate with the provider to create and deliver a Corrective Action Plan (CAP) within 10 working days, addressing the identified non-compliance. The CAP includes information on the non-compliance, appeal rights, and potential sanctions.

The CAP outlines:

1. The federal requirement(s) in question and the right to appeal, along with possible sanctions for non-compliance.
2. Methods for the service provider to remediate, including input from individuals receiving services.
3. A timeframe for remediation, not exceeding 30 days unless agreed otherwise.

The provider must sign and return the CAP within seven days, noting any disagreements in writing. CAPs must be issued by GGRC no later than September 30, 2024.

If the provider fails to complete the CAP within the specified timeframe and doesn't appeal, GGRC will impose sanctions progressively:

1. Immediate moratorium on referrals and authorizations for the service.
2. Discussion with individuals receiving services to explore alternative options.
3. Temporary payment withhold of 50% until compliance is verified, with release upon compliance. This withhold can't extend beyond four months without concurrent termination of vendorization for continued non-compliance.

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