CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING INDIVIDUAL			
Name:		Regional Center: <u>Go</u>	den Gate Regional Center
Regional Center Position/Title:	☐ Governing Board☐ Vendor Advisory☐ Contractor	Member Committee sitting on Board ☐ Agent	□ Executive Director□ Employee□ Consultant
Reporting Status:	☐ Annual ☐ Change of Status	New Appointment (date):	
If a change in status, date and 11/06/2020	circumstance of char	nge in status:	
Please list your job title and de Social Worker	escribe your job dutie	s at the regional center.	

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Executive Director ☐ Employee/Other
2.	Do you or a family member ² work for any entity or organization that is a regional center product yes on an arrangement of the entity or organization and describe what so regional center or regional center consumers. If the provider or contractor is a state or local governmental entity and describe your job or governmental entity.	ervices it provides for the ocal governmental entity,
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a recontractor? yes no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your finterest.	describe what services it
4.	Are you a regional center advisory committee board member?	to the regional center or
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center by purchasing services from a regional center provider; or (c) do you vote on matters where you interest?	ooard; (b) do you vote on

☐ Governing Board Member

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

sections 54505(f).

Tor purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Executive Director☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional certification of the decisions you make when performing your job duties with the regional certification of the financially benefit you or a family member ? [Note: Governing board members do not have question if the financial benefit would be available to regional center consumers or their family yes very no If yes, please explain.	ve to answer "yes" to this
7.	Are you responsible for negotiating, making, ⁵ executing or approving contracts on beha center? □ yes ☑ no If yes, please explain.	lf of the regional
8.	· · · · · · · · · · · · · · · · · · ·	- If yes, did you negotiate, - If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional configuration of the regional contract on behalf of the regional configuration.	

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

⁵ California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

the making of a contract.

6 For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☑ Employee/Other
	Do you evaluate employment applications or contract bids that are submitted by your fam ☐ yes ☐ no If yes, please explain.	ily member(s)?
	Your job duties require you to act in the best interests of the regional center and regional have any circumstances or other financial interests not already discussed above that wou in the best interests of the regional center or its consumers?	ld prevent you from acting
	I have been asked to serve in a five person committee to appoint a Trust Protector for a Sp when needed, for an individual I support. Please refer to my Conflict Resolution Plan dated	
	,	
D	ATTESTATION	
ь.	ATTESTATION	
	(wint warra) HEDEDY CONFIDM that I have	
ı regi	onal center's Conflict of Interest Policy and that my responses to the questions in this Co	
	ement are complete, true, and correct to the best of my information and belief. I agree information that might indicate that this statement is not accurate or that I have not constitutions.	
cent	ter's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the re	gional center's designated
	vidual immediately. I understand that knowingly providing false information on this Con Tement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000)	
	itutions Code section 4626.	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	Signature Date Date	2020
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual ☐ does ☐ does not have a ☐ present ☐ potential c	
	Signature of Designated Reviewer Date Rev	view Completed

☐ Governing Board Member☐ Vendor Advisory on Board☐ Executive Director

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

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Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTIN	G INDIVIDUAL		
Name:		Regional Center:	Golden Gate Regional Center
Regional Center Position/Title:	☐ Governing Board ☐ Vendor Advisory ☐ Contractor	Member Committee sitting on Board ☐ Agent	☐ Executive Director ☐ Employee ☐ Consultant
Reporting Status:	■ Annual□ Change of Status):
If a change in status, date and	circumstance of char	nge in status:	

1. Please list your job title and describe your job duties at the regional center.

Medicaid Waiver, Supervisor; Coordinate and oversee Medicaid Waiver Program and waiver transactions per DDS MW policies

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Executive Director ☐ Employee/Other
2	Davis and familiar and a familiar and familiar and the same of the	
2.	/	
	✓ yes ☐ no If yes, provide the name of the entity or organization and describe what s	
	regional center or regional center consumers. If the provider or contractor is a state or	
	provide the specific name of the state or local governmental entity and describe your job	duties at the state or local
	governmental entity.	
	RN, self employed contractor, performs Medicaid Waiver Recertification and	data ontre consisos
	1114, Sell employed contractor, performs Medicald Walver Recentification and t	data entry services
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a r	
э.	· · · · · · · · · · · · · · · · · · ·	•
	contractor? ves no If yes, provide the name of the entity or organization,	
	provides for the regional center or regional center consumers, and describe your or your	tamily member's tinancial
	interest.	
	See above- financial interest is to earn a pay check	
Δ	Are you a regional center advisory committee board member?	are you a member of the
•	governing board or owner or employee of an entity or organization that provides services	•
	regional center consumers?	_
	what services it provides for the regional center or regional center consumers.	organization and describe
	The second of the regional center of regional center consumers.	
5.	If you are a regional center advisory committee board member and answered yes to all the	e questions in Question 4
	above, do any of the following apply to you: (a) are you an officer of the regional center	
	purchasing services from a regional center provider; or (c) do you vote on matters where	
	interest? uges no If yes, please explain.	

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren,

Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional certinancially benefit you or a family member ⁴ ? [Note: Governing board members do not hat question if the financial benefit would be available to regional center consumers or their factory yes of no If yes, please explain.	ve to answer "yes" to this
7.	Are you responsible for negotiating, making, ⁵ executing or approving contracts on behavener? ☐ yes ☐ no If yes, please explain.	alf of the regional
8.	•	If yes, did you negotiate If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional of the second of the sec	enter? ☑ yes ☐ no center? ☐ yes ☑ no

☐ Governing Board Member☐ Vendor Advisory on Board☐ Executive Director

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

⁵ California Code of Regulations title 17.

⁵ California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

⁶ For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☐ Vendor Advisory on Board☐ Executive Director☐ Employee/Other
	Do you evaluate employment applications or contract bids that are submitted by your ☐ yes ☐ no If yes, please explain.	family member(s)?
	Your job duties require you to act in the best interests of the regional center and regio have any circumstances or other financial interests not already discussed above that win the best interests of the regional center or its consumers?	vould prevent you from acting
R	ATTESTATION	
Ь.	ATTESTATION	
	(print name) HEREBY CONFIRM that I hat ional center's Conflict of Interest Policy and that my responses to the questions in this	Conflict of Interest Reporting
any cent indi Stat	tement are complete, true, and correct to the best of my information and belief. I ag information that might indicate that this statement is not accurate or that I have no ter's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify th ividual immediately. I understand that knowingly providing false information on this tement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50 citutions Code section 4626.	ot complied with the regiona e regional center's designated Conflict of Interest Reporting
	Signature Date	/21/2021
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual ☐ does ☐ does not have a ☐ present ☐ potenti	al conflict of interest
	Signature of Designated Reviewer Date	Review Completed
	<u></u>	

☐ Governing Board Member

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

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Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

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A. INFORMATION OF REPORTING INDIVIDUAL				
Name:		Regional Center:	Golden Gate Regional Center	
Regional Center Position/Title:	☐ Governing Board ☐ Vendor Advisory C☐ Contractor	Member Committee sitting on Boa □ Agent	☐ Executive Director rd ☐ Employee ☐ Consultant	
Reporting Status:	■ Annual□ Change of Status¹		te):	
If a change in status, date and	circumstance of chan	ge in status:		

Please list your job title and describe your job duties at the regional center.

Lead Social Worker Duties include training coaching and providing mentoring on

Lead Social Worker. Duties include training, coaching and providing mentoring on case management to multiple social workers while maintaining a caseload of ongoing individuals, including conducting needs assessments and developing, implementing and coordinating Individual Program Plans/ IFSPs for individuals supported and their families and acts as an advocate on behalf of persons supported and their families.

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		☐ Employee/Other
2.	Do you or a family member ² work for any entity or organization that is a regional center produced yes on a normal normal normal center consumers. If the provider or contractor is a state or laprovide the specific name of the state or local governmental entity and describe your job of governmental entity. is an employee at Lifehouse.	services it provides for the ocal governmental entity,
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a recontractor? ves no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your interest.	describe what services it
	is an employee at Lifehouse.	
4.	Are you a regional center advisory committee board member?	s to the regional center or
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center purchasing services from a regional center provider; or (c) do you vote on matters where interest? yes no If yes, please explain.	board; (b) do you vote on
	N/A	

□ Governing Board Member□ Vendor Advisory on Board□ Executive Director

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f)

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For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Executive Director☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member ⁴ ? [Note: Governing board members do not hat question if the financial benefit would be available to regional center consumers or their fall yes I no If yes, please explain.	ve to answer "yes" to this
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behavener? ☐ yes ☐ no If yes, please explain.	If of the regional
8.	•	- If yes, did you negotiate, - If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional configuration of the regional contract on behalf of the regional configuration.	
		

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

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For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☐ Executive Director☐ Employee/Other
	o you evaluate employment applications or contract bids that are submitted by your family \mathbf{Q} no If yes, please explain.	ily member(s)?
h	our job duties require you to act in the best interests of the regional center and regional cave any circumstances or other financial interests not already discussed above that would the best interests of the regional center or its consumers?	d prevent you from acting
D A	TTESTATION	
в. А	TESTATION	
State any ir cente indivi State	(print name) HEREBY CONFIRM that I have made center's Conflict of Interest Policy and that my responses to the questions in this Comment are complete, true, and correct to the best of my information and belief. I agree information that might indicate that this statement is not accurate or that I have not confict of Interest Policy or the applicable conflict of interest laws, I will notify the regular immediately. I understand that knowingly providing false information on this Confident shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000 utions Code section 4626.	offlict of Interest Reporting that if I become aware or complied with the regional gional center's designated flict of Interest Reporting
	Signature Date	
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual ☐ does ☐ does not have a ☐ present ☐ potential co	onflict of interest
	Signature of Designated Reviewer Date Rev	iew Completed
	Ø.	

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A. INFORMATION OF REPORTING INDIVIDUAL						
Name:		Regional Center:	Golden Gate Regional Center			
Regional Center Position/Title:	☐ Governing Board II☐ Vendor Advisory C☐ Contractor	Member Committee sitting on Board □ Agent	☐ Executive Director☐ Employee☐ Consultant			
Reporting Status:	☐ Annual☐ Change of Status¹	New Appointment (date): <u>07/19/2021</u>			
If a change in status, date and	circumstance of chan	ge in status:				

1. Please list your job title and describe your job duties at the regional center.

Fiscal Coordinator- Accounts Payable. Duties involve processing incoming invoices from Vendors in preparation for electronic fund transfers and check runs.

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		☐ Vendor Advisory on Board☐ Executive Director☐ Employee/Other
2.	Do you or a family member ² work for any entity or organization that is a regional center provider or contractor? I yes I no If yes, provide the name of the entity or organization and describe what services it provides for the egional center or regional center consumers. If the provider or contractor is a state or local governmental entity and describe your job duties at the state or local governmental entity.	
	is a parent vendor for respite (PPL). is vendored as a personal assistant.	
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a recontractor? ves no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your interest.	describe what services it
4.	Are you a regional center advisory committee board member?	
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center purchasing services from a regional center provider; or (c) do you vote on matters where y interest? yes no If yes, please explain.	board; (b) do you vote on

☐ Governing Board Member

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		☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member ⁴ ? [Note: Governing board members do not ha question if the financial benefit would be available to regional center consumers or their fall yes on If yes, please explain.	ve to answer "yes" to this
	Will be assigned providers that are not affiliated with the services receive	res
7.	Are you responsible for negotiating, making, ⁵ executing or approving contracts on behavener? ☐ yes ☑ no If yes, please explain.	alf of the regional
8.	,	- If yes, did you negotiate - If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional confidence of the regional contract on behalf of the regional confidence of the region of	

☐ Governing Board Member Vendor Advisory on Board ☐ Executive Director

the making of a contract.

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

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⁶ For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☐ Executive Director☐ Employee/Other				
10.	Do you evaluate employment applications or contract bids that are submitted by your fam ☐ yes ☐ no If yes, please explain.	ily member(s)?				
11.	Your job duties require you to act in the best interests of the regional center and regional have any circumstances or other financial interests not already discussed above that wou in the best interests of the regional center or its consumers?	ld prevent you from acting				
В.	ATTESTATION					
Stat any cen indi Stat	(print name) HEREBY CONFIRM that I have ional center's Conflict of Interest Policy and that my responses to the questions in this Contement are complete, true, and correct to the best of my information and belief. I agree information that might indicate that this statement is not accurate or that I have not cater's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the restricted immediately. I understand that knowingly providing false information on this Contement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000 citutions Code section 4626.	nflict of Interest Reporting that if I become aware of omplied with the regional egional center's designated offict of Interest Reporting				
	Signature Date Date	2021				
	INTERNAL USE ONLY					
	Date this Statement was received by Reviewer:					
	The reporting individual	onflict of interest				
	Signature of Designated Reviewer Date Rev	view Completed				
	<u></u>					